



GAU/2879

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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|   |                       |                        |                           |
|---|-----------------------|------------------------|---------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence during pendency of filed application) | Application Number    | 09/347,622             |                           |
|   | Filing Date           | July 2, 1999           |                           |
|   | First Named Inventor  | Steven W. Meeks        |                           |
|   | Group Art Unit Number | 2877                   |                           |
|   | Examiner Name         | T. Nguyen              |                           |
| Total Number of Pages in This Submission  | 5                     | Attorney Docket Number | 20830-04304; Case 4304 US |

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**ENCLOSURES (check all that apply)**

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| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input type="checkbox"/> Check Enclosed | <input type="checkbox"/> Issue Fee Transmittal  |
| <input checked="" type="checkbox"/> Return Receipt Postcard  | <input type="checkbox"/> Letter to Chief Draftsperson   |
| <input type="checkbox"/> Response to Notice to File Missing Parts  | <input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]                  |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input type="checkbox"/> Declaration   | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Power of Attorney   | <input type="checkbox"/> Certified Copy of Priority Document(s)                               |
| <input type="checkbox"/> Application Data Sheet  | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input type="checkbox"/> Supplemental Information Disclosure Statement & PTO-1449                                  | <input checked="" type="checkbox"/>   |
| <input checked="" type="checkbox"/> Copies of IDS Cited References   | <input type="checkbox"/>  |
| <input type="checkbox"/> Request for Corrected Filing Receipt  | <input type="checkbox"/>  |
| <input type="checkbox"/> Request for Correction of Recorded Assignment   | <input type="checkbox"/>  |
| <input checked="" type="checkbox"/> Amendment/Response "A": [2] Page(s)<br><input type="checkbox"/> After Final    | <input type="checkbox"/>  |
| <input type="checkbox"/> Status Request  | <input type="checkbox"/>  |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney   | <input type="checkbox"/>  |

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REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

|                    |                                  |                     |
|--------------------|----------------------------------|---------------------|
| Signature:         |                                  |                     |
| Attorney/Reg. No.: | John T. McNelis, Reg. No. 37,186 | Dated: 19 Oct. 2001 |

**CERTIFICATE OF MAILING**

|   |                 |                     |
|---|-----------------|---------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                 |                     |
| Signature:  |                 |                     |
| Typed or Printed Name:  | John T. McNelis | Dated: 19 Oct. 2001 |
| Express Mail Mailing Number (optional):   |                 |                     |

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

000 PTO(modified)  
Rev. 10/2001U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

## TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 0.00)

## Complete if Known

|                        |                           |
|------------------------|---------------------------|
| Application Number     | 09/347,622                |
| Filing Date            | July 2, 1999              |
| First Named Inventor   | Steven W. Meeks           |
| Group Art Unit         | 2877                      |
| Examiner Name          | T. Nguyen                 |
| Attorney Docket Number | 20830-04304; Case 4304 US |

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

## 1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
- ☐ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 19-2555

Deposit Account Name: FENWICK &amp; WEST LLP

A Duplicate Copy of this authorization is attached

2. ☐ Payment Enclosed:☒ Check ☐ Credit Card ☐ Other

## FEE CALCULATION (fees effective 10/01/2001)

## 1. FILING FEE

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description    | Fee Due |
|------------------------------|------------------------------|--------------------|---------|
| 101/\$740                    | 201/\$370                    | Utility Filing     |         |
| 106/\$330                    | 206/\$165                    | Design Filing      |         |
| 108/\$740                    | 208/\$370                    | Reissue            |         |
| 114/\$160                    | 214/\$80                     | Provisional Filing |         |

SUBTOTAL (1) (\$ 0.00)

## 2. CLAIMS

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description   |
|------------------------------|------------------------------|---|
| 103/\$18                     | 203/\$9                      | Claims in excess of 20                                  |
| 102/\$84                     | 202/\$42                     | Independent claims in excess of 3                       |
| 104/\$280                    | 204/\$140                    | Multiple dependent claim                                |
| 109/\$84                     | 209/\$42                     | Reissue independent claims over original patent         |
| 110/\$18                     | 210/\$9                      | Reissue claims in excess of 20 and over original patent |

## 3. ADDITIONAL FEES

| Large Entity<br>Fee Code/Fee | Small Entity<br>Fee Code/Fee | Fee Description  | Fee Due |
|------------------------------|------------------------------|--|---------|
| 105/\$130                    | 205/\$65                     | Surcharge - late filing fee or oath  |         |
| 127/\$50                     | 227/\$25                     | Surcharge-late provisional filing fee or cover sheet                       |         |
| 147/\$2,520                  | 147/\$2,520                  | For filing a request for reexamination                                     |         |
| 115/\$110                    | 215/\$55                     | Extension for response within first month†                                 |         |
| 116/\$400                    | 216/\$200                    | Extension for response within second month†                                |         |
| 117/\$920                    | 217/\$460                    | Extension for response within third month†                                 |         |
| 118/\$1,440                  | 218/\$720                    | Extension for response within fourth month†                                |         |
| 128/\$1,960                  | 228/\$980                    | Extension for response within fifth month†                                 |         |
| 119/\$320                    | 219/\$160                    | Notice of Appeal   |         |
| 141/\$1,280                  | 241/\$640                    | Petition to revive unintentionally abandoned application                   |         |
| 142/\$1,280                  | 242/\$640                    | Utility Issue Fee (Or Reissue)   |         |
| 143/\$460                    | 243/\$230                    | Design Issue Fee   |         |
| 122/\$130                    | 122/\$130                    | Petitions to the Commissioner  |         |
| 126/\$180                    | 126/\$180                    | Submission of Information Disclosure Statement                             |         |
| 179/\$740                    | 279/\$370                    | Request for Continued Examination (RCE)                                    |         |
| 581/\$40                     | 581/\$40                     | Recording each patent assignment per property (times number of properties) |         |
| 146/\$740                    | 246/\$370                    | Filing a submission after final rejection (37 CFR 1.129(a))                |         |
| 149/\$740                    | 249/\$370                    | For each additional invention to be examined (37 CFR 1.129(b))             |         |

Other fee (specify):

Other fee (specify):

SUBTOTAL (3) (\$ 0.00)

| (Col. 1) |                        | (Col. 2) |                                 | (Col. 3) |     | Fee Due |
|----------|------------------------|----------|---------------------------------|----------|-----|---------|
| For      | No. of Existing Claims | minus*   | Highest No. Previously Paid For | Extra**  | Fee |         |
| TOTAL    |                        | minus*   | 20 or 0                         | =        | x   | =       |
| INDEP    |                        | minus*   | 3 or 0                          | =        | x   | =       |

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 0.00)

## SUBMITTED BY

Typed or Printed Name

John A. McNelis

## Complete (if applicable)

Reg. Number

37,186

Signature

Date

19 Oct. 2001

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby